

I) What we need to define  $F(M)$  as an ungraded  $A_\infty$ -cat. over  $\mathbb{Z}/2$ :

Partial definition: the Fukaya cat.  $\mathcal{F}(M)$  is the  $A_\infty$ -cat. with

- objects = {Lagrangian subflds of  $M$ } (exact, closed, ...)
- $\text{hom}(L_0, L_1) = \text{vector space gen'd by } L_0 \cap L_1$  (assuming  $L_0 \pitchfork L_1$ ).
- $\mu^d : \text{hom}(L_{d-1}, L_d) \otimes \dots \otimes \text{hom}(L_0, L_1) \rightarrow \text{hom}(L_0, L_d)$   
given by counts of  $(d+1)$ -pointed J-holom. discs.

Problems: • what if  $L_0, L_1$  not transverse? (e.g. if  $L_0 = L_1$ ?)

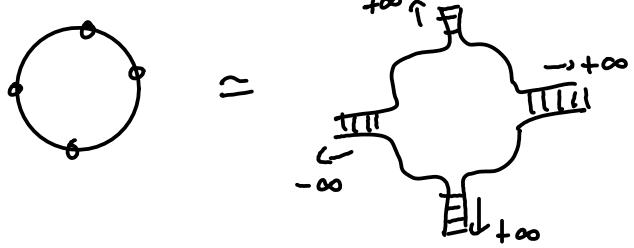
- consistency: transversality of moduli spaces?  
giving consistency as discs degenerate?  
(so  $A_\infty$ -relations still hold).

Def: Given  $L_0, L_1 \subset M$ , a Floer datum :=  $(H, J)$  where  
 $H = t\text{-dependent Hamiltonian } M \rightarrow \mathbb{R}$  ( $t \in [0, 1]$ )  
 $J = t\text{-dependent almost-ct. structure}$   
st.  $L_1 \pitchfork \Phi_H(L_0)$  transversely. ( $\Phi_H = \text{time 1 flow of } H$ )  
and st.  $J$  is regular for  $H$ -perturbed holom. strips, ie. moduli spaces  
of solutions of  $\bar{\partial}u := \frac{\partial u}{\partial s} + J(t) \left( \frac{\partial u}{\partial t} - X_H(t) \right) = 0$  ( $u : \mathbb{R} \times [0, 1] \rightarrow M$ )  
are smooth mflds of the expected dimension.

\* We'll fix a Floer datum for every pair of Lagrangians.

Def: If  $S$  is a  $(d+1)$ -pointed disc, a set of strip-like ends for  $S$  is a collection  $\{\varepsilon_S\}$ ,  $S$  boundary marked point, of holom. maps  $\varepsilon_S : \mathbb{Z}_{\substack{+ \\ \cup \{R_+ \times [0, 1]\}}} \rightarrow S$  with  $R_+ \times [0, 1] \rightarrow \partial S$  for the marked pts  $1, \dots, d$  ("positive" punctures = inputs) and  $\varepsilon_S : \mathbb{Z}_- = R_- \times [0, 1] \rightarrow S$  similarly for marked pt 0 ("neg." puncture = output).

i.e. choose charts near punctures on



If  $S$  is thought of as punctured at  $\xi$ 's, a set of Lagrangian labels for  $S$  is an assignment of a Lags. subendl  $L_i$  to each component  $C_i$  of  $\partial S$ .

Notes: we then have a chosen flow datum at each end, by the above.

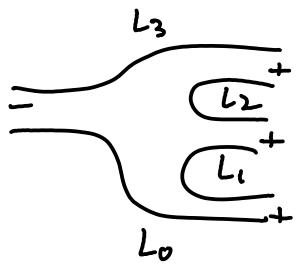
- \* Given a pointed disk  $S$  with strip-like ends & Lags. labels, define a perturbation datum  $(K, J)$  to consist of:

$\left\{ \begin{array}{l} \bullet \text{ a 1-form } K \in \mathcal{D}^1(S, \mathbb{H}) \\ \quad \text{($\mathbb{H}$ space of Hamiltonians)} \\ \bullet \text{ a domain-dep't acs. } J \in C^\infty(S, \mathbb{J}). \end{array} \right.$

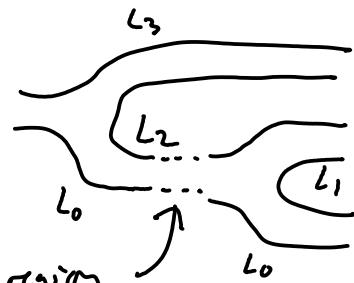
st.  $\left\{ \begin{array}{l} \bullet \text{ in each strip-like end, } (K, J)|_{\xi_i} = (H dt, J) \text{ the given flow data for pair } (L_i, J). \\ \bullet K(\xi)|_{L_i} = 0 \text{ for } \xi \in T C_i \text{ a vector tangent to $\partial$-component } C_i \text{ labelled by } L_i. \end{array} \right.$

Issue: need to choose these perturbation data consistently, i.e. as  $S$  degenerates to a pair of discs, need perturbation in the newly formed strip-like end to agree with previously chosen flow data for the appropriate Lagrangians.

i.e. if



degenerate to



then in this region  $(K, J)$  needs to agree with flow data for  $(L_0, L_2)$ .

Do this inductively on associahedra:

$R^4 = \square$ , smoothing near an end = chosen chart  $f: R^3 \times R^3 \times \{0\} \rightarrow R^4$

pt pt  $\downarrow$  gluing param.

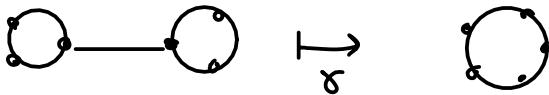


choose gluing chart

$$\gamma: \mathbb{R}^3 \times \mathbb{R}^4 \times (0,1) \rightarrow \mathbb{R}^5 \dots$$

pt ↪

st. 1) strip-like ends agree across gluing:



the strip-like ends of glued disc agree with those of components  
(for small values of gluing parameter)

2) similarly for perturbation data

(however, only require pert. data to strictly agree on "thin part" i.e.  
strip-like ends + glued pieces, and to converge to pert. data of pieces  
as gluing parameter  $\rightarrow 0$  on thick parts).

II) gradings: we know (assuming transversality) that for fixed domain

$\dim M = \text{ind } D_5 = \text{some topological quantity}$   
 $\hookrightarrow$  Maslov index.

To get a  $\mathbb{Z}$ -grading on the Fukaya cat, need to assume:  $2c_1(M) = 0$ .

& will only consider graded Lagrangian subflds.

Since  $2c_1(M) = 0$ ,  $\exists$  global trivialization of  $(\Lambda_{\mathbb{C}}^n TM)^{\otimes 2}$ , i.e. a nonvanishing section  $\mathcal{S}_2$  of it, and we can consider the "phase-squared" map  $\phi(L) = (\text{vol}_L)^2 / \mathcal{S}_2 : L \rightarrow S^1$

In fact,  $\phi$  is a map defined on the Lagrangian grassmannian bundle

$$\begin{array}{ccc} LGr(M) & \xrightarrow{\phi} & S^1 \\ \downarrow & & \\ M & & \end{array}$$

(Note: in  $\mathbb{C}^n$ , Lagr. planes = image of  
 $A: \mathbb{R}^n \rightarrow \mathbb{C}^n$ ,  $A \in U(n)/O(n)$ ,  
then  $\phi$  is essentially  $(\det A)^2$ )

Rmk:  $\phi: L\text{Gr}_n \rightarrow S^1$  generates  $H^1(L\text{Gr}_n, \mathbb{Z})$ .

This implies that (under our assumption of  $2c_1(M) = 0$ )

there is a bundle  $\widetilde{L\text{Gr}}(M)$  fiberwise universal cover.

$$\begin{array}{ccc} \widetilde{L\text{Gr}}(M) & & \\ \downarrow & & \\ L\text{Gr}(M) & & \\ \downarrow & & \\ M & & \end{array}$$

Def: The Novikov class of  $L$  is  $\mu \in H^1(L, \mathbb{Z})$  def'd by phase  $[\phi(L)] \in [L, S^1] \simeq H^1(L, \mathbb{Z})$ .

If  $\mu=0$  then  $L$  lifts to a section of  $\widetilde{L\text{Gr}}(M)$ . (and conversely)

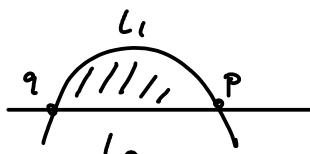
$$\begin{array}{ccc} & \widetilde{L\text{Gr}}(M) & \\ ? & \downarrow & \\ L\text{Gr}(M) & & \\ \downarrow & & \\ L & \xrightarrow{\text{incl.}} & M \end{array}$$

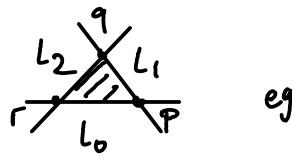
Def: A graded Lagrangian  $\tilde{L}$  is a pair  $(L, l)$  where  $L \subset M$  Lagrangian (necess. with  $\mu=0$ ) and  $l$  is a lift to  $\widetilde{L\text{Gr}}(M)$

Def: If  $\tilde{L}_0, \tilde{L}_1$  graded Lagrangians, and  $x \in L_0 \cap L_1$ , transv. int., then  $\exists! \gamma: [0, 1] \rightarrow \widetilde{L\text{Gr}}$  connecting the lifts of  $T_x \tilde{L}_0$  and  $T_x \tilde{L}_1$ , up to homotopy

The index  $i(\tilde{L}_0, \tilde{L}_1)(x)$  is related to winding number of  $\phi(\gamma)$  (see next time). - in dim 1 it's [winding]

Index formula:  $\text{Ind}(D_{\tilde{S}}) = i(x_0) - \sum_{\xi \neq x_0} i(x_{\xi})$ .

Ex.:   $\text{Ind} = i(q) - i(p) = 1$ .

 eg.  $\phi(L_0) = 0$ ,  $0 < \phi(L_1) < 1$ ,  $1 < \phi(L_2) < 2$   $\Rightarrow i(p) = i(q) = 1, i(r) = 2$   
 $\text{Ind} = 2 - (1+1) = 0$ .